# SRIQ And SROIQ are Harder than $\operatorname{SHOIQ}$ 

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## (presented by Birte Glimm)

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May 15, 2008


## 1 Introduction

## 2 Hardness Results

3 Membership Results

4 DISCUSSION

## Summary of the Main Results

## Known Results (SEe DL Complexity Navigator ${ }^{1}$ )

(Finite model) reasoning is:

- ExpTime-complete for $\mathcal{S H \mathcal { H } \mathcal { Q }}$

■ NExpTime-complete for $\mathcal{S H O \mathcal { I } \mathcal { Q }}$
${ }^{1}$ http://www.cs.man.ac.uk/~ezolin/dl/

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Theorem (New Results in This Talk)
(Finite model) reasoning is:

- 2ExpTime-hard for $\mathcal{S R} \mathcal{I} \mathcal{Q}$ [and even for $\mathcal{S R}$ ]
- N2ExpTime-complete for $\mathcal{S R} \mathcal{O} \mathcal{I} \mathcal{Q}$ [and for $\mathcal{S R O \mathcal { I } F}$ ]

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In short: $\mathcal{H} \Rightarrow \mathcal{R}$ causes an exponential blowup!

[^1]
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- complex RIAs of the form $R \circ S \sqsubseteq R$ and $S \circ R \sqsubseteq R$
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$1 \quad R \circ R \sqsubseteq R \quad$ (transitivity)
$2 \quad R^{-} \sqsubseteq R \quad$ (symmetry)
$3 \quad S_{1} \circ \cdots \circ S_{n} \sqsubseteq R$
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(left-linear) $\quad S_{i} \prec R$ for all $1 \leq i \leq n$
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[2005] $\mathcal{S R} \mathcal{I} \mathcal{Q}$ extends $\mathcal{R} \mathcal{I} \mathcal{Q}$ with
■ Universal role $U$

- Negated role assertions $\neg R(a, b)$
- Concept constructor $\exists$ R.Self

■ Role axioms $\operatorname{Sym}(R), \operatorname{Ref}(R), \operatorname{Asy}(S), \operatorname{Irr}(R), \operatorname{Disj}\left(S_{1}, S_{2}\right)$

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proposed as a basis for $\mathcal{O} \mathcal{W} \mathcal{L} 2$ (a.k.a. $\mathcal{O} \mathcal{W} \mathcal{L} 1.1$ )


## Regular RIAs

- Integration of new constructions into existing tableau-based procedures:
■ $U, \neg R(a, b), \operatorname{Sym}(R), \operatorname{Ref}(R), \operatorname{Asy}(S), \operatorname{Irr}(R), \operatorname{Disj}\left(S_{1}, S_{2}\right)$ — do not break the tree-model property


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— do not break the tree-model property
- $R_{1} \circ \cdots \circ R_{n} \sqsubseteq R$
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■ Cause undecidability when used without restrictions

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EXAMPLE

| $S \circ R \circ S \sqsubseteq R \quad$ - not regular |
| :--- |
| $R_{i} \circ R_{i} \sqsubseteq R_{i+1} \quad$ - regular by 3 |
| when $R_{0}$ |
| 亿 $R_{1} \prec \cdots \prec R_{n}$ |

## Regular RIAs

| 1 | $R \circ R \sqsubseteq R$ |
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| 2 | $R^{-} \sqsubseteq R$ |
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|  |  |

## Tableau: The Exponential Blowup

- Every regular RBox $\mathcal{R}$ induces a regular language:

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L_{\mathcal{R}}(R)=\left\{S_{1} S_{2} \ldots S_{n} \mid S_{1} \circ S_{2} \circ \cdots \circ S_{n} \sqsubseteq_{\mathcal{R}}^{*} R\right\}
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## EXAMPLE (CONTINUED)

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\begin{array}{rlr}
S \circ R \circ S \sqsubseteq R & L_{\mathcal{R}}(R)=\left\{S^{i} R S^{i} \mid i \geq 0\right\} & \text { - non regular } \\
R_{i} \circ R_{i} \sqsubseteq R_{i+1} & L_{\mathcal{R}}\left(R_{i+1}\right)=\left\{R_{i+1}\right\} \cup L_{\mathcal{R}}\left(R_{i}\right) \cdot L_{\mathcal{R}}\left(R_{i}\right) \\
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- This causes an exponential blowup compared to the procedure for $\mathcal{S H O} \mathcal{H} \mathcal{Q} \Leftarrow$ Unavoidable??


## Outline

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## 2 Hardness Results

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## Exponential Chains in $\mathcal{A L C}$

- Integer counting technique:
- A counter between 0 and $2^{n}-1$ uses $n$ concepts $B_{1}, \ldots, B_{n}$
- The $i$-th bit of the counter corresponds to the value of $B_{i}$
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■ Expressing in $\mathcal{A L C}$ :

$$
\begin{aligned}
Z \equiv & \neg B_{n} \sqcap \cdots \sqcap \neg B_{1} \quad \text { - "Zero" } \\
E \equiv & B_{n} \sqcap \cdots \sqcap B_{1} \quad \text { - "End" } \\
\neg E \equiv & \exists R . \top \quad \text { Successors } \\
\top \equiv & \left(B_{1} \sqcap \forall R . \neg B_{1}\right) \sqcup\left(\neg B_{1} \sqcap \forall R . B_{1}\right) \\
& \text { - The lowest bit always flips }
\end{aligned}
$$

$B_{i-1} \sqcap \forall R . \neg B_{i-1} \equiv$ $\left(B_{i} \sqcap \forall R . \neg B_{i}\right) \sqcup\left(\neg B_{i} \sqcap \forall R . B_{i}\right)$

| $2^{n}$ | $9{ }^{9} \quad \begin{array}{llll}B_{3} & B_{2} & B_{1}\end{array}$ | 111 |
| :---: | :---: | :---: |
|  | ¢ $B_{3} \quad B_{2} \neg B_{1}$ | 110 |
|  | ¢ $B_{3} \neg B_{2} \quad B_{1}$ | 101 |
|  | 아슥 $B_{2} \neg B_{1}$ | 100 |
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## Doubly-Exponential Chains in $\mathcal{S} \mathcal{R} \mathcal{I} \mathcal{Q}$

- Encode the counter on exponentially-long chains
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- $\underbrace{R \circ R \circ \cdots \circ R}_{k} \sqsubseteq R_{n}$ iff $k=2^{n}$
- Flipping of corresponding bits:

$E \sqsubseteq\left(X \sqcap \forall R_{n} . \neg X\right) \sqcup\left(\neg X \sqcap \forall R_{n} \cdot X\right)$
— the last bit always flips, . . . etc.


## Hardness Result for $\mathcal{S R} \mathcal{O} \mathcal{I} \mathcal{Q}$

■ The key idea is like in the NExpTime-hardness for $\mathcal{S H O \mathcal { O } \mathcal { Q }}$.
■ In $\mathcal{S H O \mathcal { H } \mathcal { Q }}$ it is possible to express an exponential grid:

- Use two counters to encode the coordinates of the grid
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## THEOREM

(Finite model) reasoning in $\mathcal{S R O} \mathcal{I} \mathcal{Q}$ is N2ExpTime-hard. The result holds already for inverse functional roles and nominals.


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By reduction from the word problem for an exponential-space alternating Turing machine:

- Configurations are encoded on exponential chains
- Corresponding cells of successive configurations are connected by $R_{n}$
■ Easy to simulate the computation
- Since AExpSpace $=2$ ExpTime we have:


## THEOREM

(Finite model) reasoning in $\mathcal{S R I \mathcal { Q }}$ is 2ExpTime-hard. The result holds already without inverses and counting.


## Outline

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## The Membership Result for $\mathcal{S} \mathcal{R} \mathcal{O} \mathcal{I} \mathcal{Q}$

The matching N2ExpTime upper bound for $\mathcal{S R O \mathcal { O }}$ is obtained by an exponential translation into $\mathcal{C}^{2}$ :

## Summary:

1 Simplify ontology to contain only axioms of forms 1-10
2 Eliminate axioms of form 10 using NFA
B Translate the other axioms into $\mathcal{C}^{2}$

|  | Axiom | First-Order Translation |
| :--- | :--- | :--- |
| 1 | $A \sqsubseteq \forall r . B$ | $\forall x .(A(x) \rightarrow \forall y \cdot[r(x, y) \rightarrow B(y)])$ |
| 2 | $A \sqsubseteq \geqslant n s . B$ | $\forall x .(A(x) \rightarrow \exists \geq n y \cdot[s(x, y) \wedge B(y)])$ |
| 3 | $A \sqsubseteq \leqslant n s . B$ | $\forall x .(A(x) \rightarrow \exists \leq n y \cdot[s(x, y) \wedge B(y)])$ |
| 4 | $A \equiv \exists s$. Self | $\forall x .(A(x) \leftrightarrow s(x, x))$ |
| 5 | $A_{a} \equiv\{a\}$ | $\exists^{=1} y \cdot A_{a}(y)$ |
| 6 | $\prod A_{i} \sqsubseteq \bigsqcup B_{j}$ | $\forall x .\left(\bigvee \neg A_{i}(x) \vee \bigvee B_{j}(x)\right)$ |
| 7 | $\operatorname{Disj}\left(s_{1}, s_{2}\right)$ | $\forall x y .\left(s_{1}(x, y) \wedge s_{2}(x, y) \rightarrow \perp\right)$ |
| 8 | $s_{1} \sqsubseteq s_{2}$ | $\forall x y .\left(s_{1}(x, y) \rightarrow s_{2}(x, y)\right)$ |
| 9 | $s_{1} \sqsubseteq s_{2}-$ | $\forall x y \cdot\left(s_{1}(x, y) \rightarrow s_{2}(y, x)\right)$ |
| 10 | $r_{1} \circ \cdots \circ r_{n} \sqsubseteq v, \quad n \geq 1, v$ is non-simple |  |

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| 3 | $A \sqsubseteq \leqslant n s . B$ | $\forall x .\left(A(x) \rightarrow \exists^{\leq n} y .[s(x, y) \wedge B(y)]\right)$ |
| 4 | $A \equiv \exists \mathrm{~s}$.Self | $\forall x .(A(x) \leftrightarrow s(x, x))$ |
| 5 | $A_{a} \equiv\{a\}$ | $\exists^{=1} y \cdot A_{a}(y)$ |
| 6 | $\rceil A_{i} \sqsubseteq \square B_{j}$ | $\forall x .\left(\bigvee \neg A_{i}(x) \vee \bigvee B_{j}(x)\right)$ |
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| 8 | $s_{1} \sqsubseteq s_{2}$ | $\forall x y .\left(s_{1}(x, y) \rightarrow s_{2}(x, y)\right)$ |
| 9 | $s_{1} \sqsubseteq s_{2}{ }^{-}$ | $\forall x y .\left(s_{1}(x, y) \rightarrow s_{2}(y, x)\right)$ |

## KEY PROPERTY FOR STEP 2

Axioms of form 10 can interact only with axioms of form 1, since other axioms contain only simple roles $s_{(i)}$

## Elimination of Complex RIAs

## The main idea

"Absorb" regular RIAs into axioms of the form $A \sqsubseteq \forall r . B$
■ For each $A \sqsubseteq \forall r . B$, complex RIAs induce properties: $A \sqsubseteq \forall r_{1} \circ \cdots \circ r_{n} . B, \quad$ when $r_{1} \ldots r_{n} \in L_{\mathcal{R}}(r)$

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- Take any NFA for $L_{\mathcal{R}}(r)$ with the set of states $Q$, and the transition relation $\delta$, and add new axioms for $A \sqsubseteq \forall r . B$ :
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■ Note that $|Q|$ can be exponential in $|\mathcal{R}|$ !

## The Membership Result for $\mathcal{S} \mathcal{R} \mathcal{O} \mathcal{I} \mathcal{Q}$

The matching N2ExpTime upper bound for $\mathcal{S R O \mathcal { O }}$ is obtained by an exponential translation into $\mathcal{C}^{2}$ :

## Summary:

1 Simplify ontology to contain only axioms of forms 1-10 (polynom.)
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3 Translate the other axioms into $\mathcal{C}^{2}$

|  | Axiom | First-Order Translation |
| :--- | :--- | :--- |
| 1 | $A \sqsubseteq \forall r . B$ | $\forall x .(A(x) \rightarrow \forall y .[r(x, y) \rightarrow B(y)])$ |
| 2 | $A \sqsubseteq \geqslant n s . B$ | $\forall x .\left(A(x) \rightarrow \exists^{\geq n} y .[s(x, y) \wedge B(y)]\right)$ |
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| 4 | $A \equiv \exists s$. Self | $\forall x .(A(x) \leftrightarrow s(x, x))$ |
| 5 | $A_{a} \equiv\{a\}$ | $\exists^{=1} y \cdot A_{a}(y)$ |
| 6 | $\rceil A_{i} \sqsubseteq \bigsqcup B_{j}$ | $\forall x .\left(\bigvee \neg A_{i}(x) \vee \bigvee B_{j}(x)\right)$ |
| 7 | $D i s j\left(s_{1}, s_{2}\right)$ | $\forall x y .\left(s_{1}(x, y) \wedge s_{2}(x, y) \rightarrow \perp\right)$ |
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## THEOREM (UPPER COMPLEXITY FOR SROIQ)

(Finite model) reasoning in $\mathcal{S R O \mathcal { O } \mathcal { Q }}$ is N2ExpTime

## Outline

## 1 InTRODUCTION

## 2 HaRdness Results

3 Membership Results

4 DISCUSSION

## SUMMARY

- We have identified exact computational complexity of $\mathcal{S R O \mathcal { O }}$ to be N2ExpTime; $\mathcal{S R} \mathcal{I} \mathcal{Q}$ is 2ExpTime-hard.
■ Complexity blowup is due to complex RIAs $R_{1} \circ \cdots \circ R_{n} \sqsubseteq R$, in particular because they can chain a fixed exponential number of roles
- Explains the exponential blowup in the tableau procedures for $\mathcal{S R I \mathcal { L }}$ and $\mathcal{S R O I Q}$


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- Explains the exponential blowup in the tableau procedures for $\mathcal{S R} \mathcal{I} \mathcal{Q}$ and $\mathcal{S R O \mathcal { I }}$
- Open problems:

1 Upper bound for $\mathcal{S R} \mathcal{I} \mathcal{Q}$ ?
2 Upper \& Lower bounds for $\mathcal{R} \mathcal{I} \mathcal{Q}$ ? Conjecture: 2ExpTime
$\mathcal{R} \mathcal{I} \mathcal{Q}$ allows only for restricted complex RIAs of the form
$R \circ S \sqsubseteq R$ and $S \circ R \sqsubseteq R$ which cannot be used in our constructions

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- Only the size of the RBox has a high complexity impact:

| $S \mathcal{H}[\mathcal{O}] \mathcal{L}$ |  |  |
| :---: | :---: | :---: |
| ABox | TBox | RBox |
| NP? |  |  |
| [N]E | pTime |  |
| [N]ExpTime |  |  |


| $\operatorname{SR}[\mathcal{O}] \mathcal{I} Q$ |  |  |
| :--- | :--- | :--- |
| ABox | TBox | RBox |
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| $[\mathrm{N}]$ ExpTime |  |  |
| $2[\mathrm{~N}]$ ExpTime |  |  |

## Questions?

- Please send difficult questions to

$$
\begin{aligned}
& \text { YEVGENY KAZAKOV } \\
& \text { yevgeny.kazakov@comlab.ox.ac.uk }
\end{aligned}
$$

- Our contribution:
$1 \mathcal{S R O I} \mathcal{Q}[\mathcal{S R O \mathcal { I }}]$ is N2ExpTime-complete
$2 \mathcal{S R} \mathcal{I} \mathcal{Q}[\mathcal{S R}]$ is 2ExpTime-hard
- Thank you for your attention!


[^0]:    ${ }^{1}$ http://www.cs.man.ac.uk/~ezolin/dl/

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